

## Suitability - ERISA/IRA Rollover Worksheet

1.	Owner Name		
2.	Annuitant Name		
3.	ERISA Institution		
4.	Existing Contract Number		
5.	Proposed Annuity Application Number		
6.	Are you permitted to leave your funds in your current qualified vehicle?		
7.	Were any other alternative investments or insurance products considered prior to moving your funds from your current qualified vehicle? ☐ Yes ☐ No		
8. Provide in the space below all expenses or fees associated with your current qualified vehicle the expenses or fees associated with the proposed annuity.			current qualified vehicle as well as
	Type of Charge	Existing Qualified Vehicle	Proposed Annuity
	Please provide Dollar Amount or Percentage		
	Other Expenses		
9.	Does your employer pay for some or all of your existing qualified vehicle's expenses or fees?  Yes No Not Applicable		
10.	. Does your existing qualified vehicle offer a lifetime income solution? ☐ Yes ☐ No		
11.	I. Provide in the space below the specific reason or reasons why the new IRA contract will better mee your objectives compared to your existing qualified vehicle (e.g., safety, guaranteed income, lower for Please be specific.		

Under regulations from the Department of Labor, recommending the distribution of funds from a qualified plan or IRA to purchase life insurance could cause the producer to be treated as a fiduciary under ERISA or the Tax Code. This sample form is for producer use only. Producer should consult with their Legal or Compliance Advisor before providing to their clients.