

Best Interest Questionnaire



This information will help me advise you on a financial strategy that best suits your objectives, risk tolerance, and comfort level.

Please indicate one response from the selection below that best describes your risk tolerance for this asset.

- ☐ I am not comfortable with potential loss of my principal due to market downturns, however, I would be comfortable with the ability to achieve some growth.
- ☐ I am comfortable with potential loss of my principal in an exchange for the opportunity to achieve higher growth and the potential for greater returns.

Of the statements below, please select the one that best describes your tolerance for risk and potential returns, specifically regarding this asset:

- ☐ I wish to preserve and protect my principal from market downturns.
- ☐ I am seeking a stable, consistent rate of return over a specified duration.
- ☐ I am seeking a higher rate of return, regardless of the risk of losing my principal.

Which of the following personal objectives are most important to you? (please check all that apply)

- ☐ Accumulation of Asset

If you selected "Accumulation of Asset", please mark one of the following responses indicated below:

- ☐ I am seeking returns based on a fixed interest rate and returns are not linked to an index.
- ☐ I am seeking returns linked to the performance of one or more indexes.

- ☐ Income – Access to lifetime income or guaranteed payment of income.

If you selected "Income", please indicate the anticipated horizon for accessing income (i.e. immediately, 1 year from now):

- ☐ Death Benefit – Leave money to beneficiaries.

Based upon the needs defined above, the product and surrender charge duration selected is:

Explain why you chose the product selected:

Under regulations from the Department of Labor, recommending the distribution of funds from a qualified plan or IRA to purchase life insurance could cause the producer to be treated as a fiduciary under ERISA or the Tax Code. This sample form is for producer use only. Producer should consult with their Legal or Compliance Advisor before providing to their clients.